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CASE 1-32411A/FMI

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF  
CHIQUET-EHRISMANN ET AL.  
U.S. APPLICATION NO: 10/509,009  
35 USC §371 DATE: MAY 3, 2005  
FOR: TENASCIN-W COMPOSITIONS AND USES THEREOF

Mail Stop: Assignment Services Division  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Enclosed for filing in the above-referenced patent application, please find the following documents:

1. USPTO Document No. 103011015 (3 pages);
2. Recordation Form Cover Sheet (1 page);
3. Original Executed Assignment (1 page);
4. Return-receipt postcard.

It is believed that no further fees are due with the filing of these documents. If, however, the Commissioner of Patents deems an additional fee is due, authorization is given to charge Deposit Account No. 19-0134 in the name of Novartis.

Respectfully submitted,

Novartis  
Corporate Intellectual Property  
One Health Plaza, Building 430  
East Hanover, NJ 07936-1080  
(617) 871-3346  
Date: October 19, 2005

*[Signature]*  
John T. Prince  
Attorney for Applicants  
Reg. No. 43,019



1-32411A/FM1

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## UNITED STATES PATENT AND TRADEMARK OFFICE

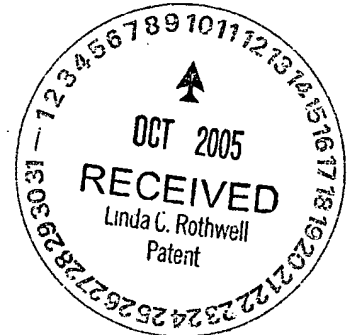
UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 28, 2005

PTAS



\*103011015A\*

NOVARTIS  
CORPORATE INTELLECTUAL PROPERTY  
ONE HEALTH PLAZA, BUILDING 104  
EAST HANOVER, NJ 07936-1080UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 103011015

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103011015

To the Honorable Commissioner of Pa

Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Ruth Chiquet-Ehrismann and  
Arnaud Scherberich**

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: **Aug. 19, 2005 and Aug. 20, 2005**

2. Name and address of receiving party(ies)

Name: **Novartis International AG**

Street Address: \_\_\_\_\_

City: **Basel +** State: **Switzerland** ZIP: **4002**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

**10/509,009 and PCT/EP03/003150**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Novartis**Internal Address: **Corporate Intellectual Property**Street Address: **One Health Plaza, Building 104**City: **East Hanover** State: **NJ** ZIP: **07936-1080**

05/09/2005 LLANDGRA 00000055 190134 10509009

02 FC:8021

(40.00 DA)

6. Total number of applications and patents involved: **1**7. Total fee (37 CFR 3.41) \$ **40.00**

- ☐ Enclosed  
☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

**19-0134 (in the name of Novartis)**

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**John T. Prince**  
 Name of Person Signing  
 Reg. No. 43,019

Signature

**May 3, 2005**  
 Date

☒ Certificate of Express Mail belowTotal number of pages including cover sheet, attachments, and document: **3**

Mail documents to be recorded with required cover sheet information to:

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FILING BY EXPRESS MAIL UNDER 37 CFR 1.10

Express Mail No.: EV 524615121 US

Date of Deposit: **May 3, 2005**

Name: Sandra A. Nelson

Signature:

ASSIGNMENT

I / We **Ruth CHIQUET-EHRISMANN**, Gstadstrasse 45, 4153 Reinach, Switzerland, citizen of Switzerland and  
**Arnaud SCHERBERICH**, 1, rue des Ecrins, 68400 Riedisheim, France, citizen of France,

for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Novartis Forschungsstiftung, Zweigniederlassung Friedrich Miescher Institute for Biomedical Research, an organization registered according to the laws of Switzerland, of Maulbeerstrasse 66, 4058 Basel, Switzerland**, its successors, assigns and legal representatives all my/our right, title and interest, in and for the United States of America, in and to the invention entitled:

**Tenascin-W compositions and uses thereof**

invented by me/us and described in the international application

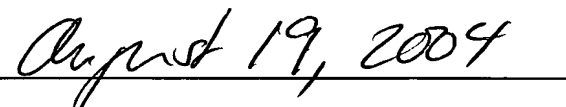
No. **PCT/EP 2003/003150** filed **March 26, 2003**

and all United States Letters Patent which may be granted therefor, and all divisions, reissues, continuations and extensions thereof, the said interest being the entire ownership of the said Letters Patent when granted, to be held and enjoyed by the said **Novartis Forschungsstiftung, Zweigniederlassung Friedrich Miescher Institute for Biomedical Research**, its successors, assigns or other legal representatives, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me/us if this assignment and sale had not been made;

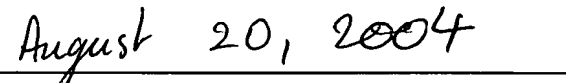
And I/we hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the said **Novartis Forschungsstiftung, Zweigniederlassung Friedrich Miescher Institute for Biomedical Research**.

Signed on

  
\_\_\_\_\_  
**Ruth CHIQUET-EHRISMANN**

  
\_\_\_\_\_  
**August 19, 2004**

  
\_\_\_\_\_  
**Arnaud SCHERBERICH**

  
\_\_\_\_\_  
**August 20, 2004**